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# Multimedia Data Mining for Automatic Diabetic Retinopathy Screening

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Bruno Lay, Agnès Chabouis, Christian Roux, Guy Cazuguel

**Abstract**—This paper presents TeleOphta, an automatic system for screening diabetic retinopathy in teleophthalmology networks. Its goal is to reduce the burden on ophthalmologists by automatically detecting non referable examination records, i.e. examination records presenting no image quality problems and no pathological signs related to diabetic retinopathy or any other retinal pathology. TeleOphta is an attempt to put into practice years of algorithmic developments from our groups. It combines image quality metrics, specific lesion detectors and a generic pathological pattern miner to process the visual content of eye fundus photographs. This visual information is further combined with contextual data in order to compute an abnormality risk for each examination record. The TeleOphta system was trained and tested on a large dataset of 25,702 examination records from the OPHDIAT screening network in Paris. It was able to automatically detect 68% of the non referable examination records while achieving the same sensitivity as a second ophthalmologist. This suggests that it could safely reduce the burden on ophthalmologists by 56%.

## I. INTRODUCTION

Retinal pathologies are the main cause of vision impairment. The early detection of these pathologies helps stopping or slowing down their progress and increases the chances of healing. In order to achieve early detection, systematic mass screening is necessary. Telemedical networks are emerging as one of the main tools to reach this objective. In particular, many networks are devoted to the early detection of Diabetic Retinopathy (DR), a complication of diabetes mellitus [1], [2], [3], [4]. However, the decreasing number of ophthalmologists and the increasing incidence of diabetes [5] limits the development of these networks. A tool able to automatically detect healthy cases would reduce the burden on ophthalmologists and therefore foster the development of teleophthalmology networks. This is the objective of TeleOphta.

TeleOphta is a project funded by the French National Research Agency since 2009. It relies on retinal image

processing and data mining methodologies developed in the past few years by the Centre for Mathematical Morphology [6], [7], [8], in Paris, and by the LaTIM Laboratory [9], [10], [11], [12], in Brest, France. The project benefits from a large amount of data collected in the OPHDIAT telemedical network for diabetic retinopathy screening [3]. It resulted into a system able to automatically classify an examination record, acquired in the OPHDIAT network, as "non referable" or "to be referred to a specialist".

## II. CLINICAL APPLICATION - CHALLENGES AND PROPOSED STRATEGY

The development of image processing methods for the analysis of eye fundus photographs has been very active in the last 15 years [13], [14]. The first studies involved relatively small and homogeneous databases. However, teleophthalmology networks involve tens of screening centers (29 centers in OPHDIAT). It implies that several image characteristics, such as quality, size or lighting conditions change greatly from one examination to another. Besides, the number of images per examination record also varies greatly (from 1 to 19 in OPHDIAT). In order to bridge the gap between academic solutions and clinical applications, image analysis algorithms should be able to deal with these heterogeneities.

Retinal experts do not rely exclusively on fundus photographs to make a referral decision. They also take contextual information into account: the patient's age, the patient's diabetes history, whether or not the patient is pregnant, etc. In fact, experts cannot reliably produce referral decisions without contextual information. Similarly, to produce reliable referral decisions, automatic systems must take contextual information into account. This was the second major challenge the project had to face.

Another challenge is the variety of retinal pathologies in DR screening centers. An automatic system that can only detect DR, even perfectly, cannot be used reliably in a screening network: ophthalmologists won't trust a system that misses patients with a retinal pathology, even if this is not the target pathology.

In TeleOphta, lesion detectors and image quality metrics are combined with image mining and heterogeneous data mining algorithms in order to overcome these three challenges. The overall system was trained, in a very large screening dataset provided by the OPHDIAT network, to reliably reproduce the referral decision process of retinal experts. The system is summarized in Fig. 1.

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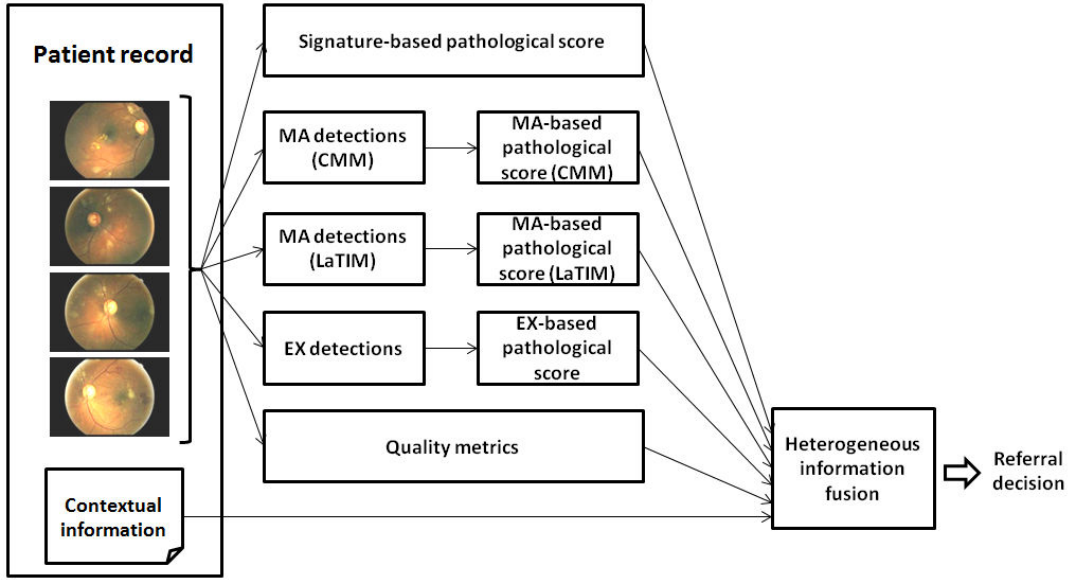


Fig. 1: Outline of the TeleOphta system

### III. LESION DETECTION

Microaneurysms (MA) and exudates (EX) are usually the first signs of DR in eye fundus photographs [13]. So their detection is of primary importance. Algorithms developed by both research groups in the past few years were improved to increase their robustness. These improvements were assisted by larger and more heterogeneous datasets of manually segmented images (see section VI).

#### A. Microaneurysm Detection

Two MA detectors are used in TeleOphta: the first one is based on mathematical morphology [8], the second is based on template matching in wavelet spaces [9]. New efforts were mostly directed towards the first detector [15]: in particular, the feature extraction and classification steps were improved. In the new version, MA candidates are obtained with an alternate sequential filter that extracts small structures. Then, local, geometrical and contextual features are extracted from each candidate. Finally, a pathological probability is assigned to each candidate by a random forest classifier [16] trained on a large manually annotated dataset (see section VI).

#### B. Exudate Detection

The robustness of our previous EX detector [7] was also improved [17]. As a preprocessing step, the improved detector searches for structures that can be erroneously considered as exudates: the optic disk, hazes at the border of the field of view, reflections in the middle of blood vessels, bright artifacts caused by camera lenses, etc. Then, EX candidates are extracted through morphological ultimate opening and features are extracted from each candidate. Finally, a pathological probability is assigned to each candidate by a random forest classifier trained on a large manually annotated dataset.

### IV. MINING PATHOLOGICAL PATTERNS IN IMAGES

We have seen in the previous section how microaneurysms and exudates can be finely detected in images. We present in this section a general solution to roughly detect the remaining signs of DR, and of other retinal pathologies. The proposed solution relies on wavelet-based image characterizations developed in previous works [18].

Each image in an examination record is divided into patches. Then, a vector of image characterizations, called signature, is extracted from each patch. A machine learning algorithm was designed to recognize those signatures that only appear in pathological examination records [19]. This algorithm relies on the multiple-instance learning paradigm. In order to detect various pathological patterns, several sizes of patches are used simultaneously. A global pathological index is then derived for the examination record as a whole: it combines local pathological scores computed in image patches individually [11]. The algorithm is summarized in Fig. 2. In order to push the classification performance further, the shape of the wavelet filters used to extract image characterizations is tuned by a genetic algorithm [18], [20].

Note that, unlike the MA and EX detectors, this signature-based detector is not supervised by manual segmentations. Instead, it is supervised by the decision attached to examination records as a whole: whether or not the patient should be referred to an ophthalmologist.

### V. CLASSIFYING EXAMINATION RECORDS

Now that the visual content of images has been characterized, we present how image characterizations are combined with contextual data (age, weight, diabetes type, etc.) in order to decide whether or not a patient should be referred to an ophthalmologist. This classification problem has two main challenges. First, we need to process a varying number of

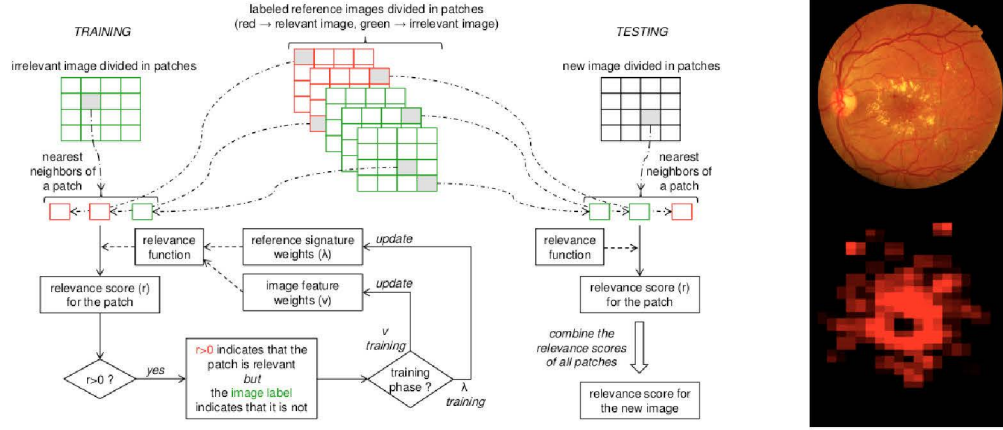


Fig. 2: Pathological pattern mining

lesion detections per examination record. Second, we need to process sparse contextual data. The workflow is summarized in Fig. 1.

#### A. Lesion-based Pathological Score

To address the first challenge, we first compute a single pathological score per examination record and per lesion detector. In that purpose, the joint cumulative distribution function (CDF) of the lesion probabilities and of the lesion sizes is built for each examination record. This CDF is then mapped to a single pathological score using a linear discriminant analysis [21]. The mapping process is tuned to maximize classification performance in a large training set (see section VII).

Using a trained classifier for the distribution of lesion probabilities and sizes, rather than counting the number of lesions above a hard probability threshold for instance, increases the robustness of the lesion detectors. It is a simple way to make any lesion detector aware of the variety of images in real-live teleophthalmology networks.

#### B. Heterogeneous Information Fusion

The second step consists in classifying a sparse vector of heterogeneous descriptors: one pathological score per lesion detector, one signature-based pathological score, six quality metrics [21] and up to 27 contextual information fields (see section VI). Solutions based on decision trees [22] and the Dezert-Smarandache theory [12] have been proposed in previous works. A novel solution based on Apriori, the most popular algorithm for association rule mining [23], proved more efficient in this study. Rules associating a subset of descriptors with a referral decision (e.g.  $\{70 \leq \text{age} < 75, \text{diabetes\_type} = \text{NIDDM}, \text{MA\_score} > 0.75\} \rightarrow \text{refer\_to\_ophthalmologist}$ ) are mined in a training set (see section VII). Their sensitivity and specificity are measured in the training set. In order to classify a new examination record, all the relevant association rules are selected. Then, based on the sensitivity and specificity of the selected rules, an abnormality risk is computed for the new record. This solution is particularly well suited to sparse data.

## VI. DATASETS

The TeleOphta project has produced several datasets. All these datasets were collected in the OPHDIAT screening network.

The main dataset, called e-ophta, is the anonymized extraction of all examination records collected in the screening network during the years 2008 and 2009. It consists of 25,702 examination records, each containing four eye fundus photographs on average (two per eye) and up to 27 contextual information fields: 9 demographic information fields and 18 diabetes-related information fields. For practical reasons related to network bandwidth, all images were JPEG-compressed. Each examination record also comes with the referral decision provided by the OPHDIAT reader as well as the severity of DR in each eye.

Five hundred examination records, randomly selected from e-ophta, were read by a second OPHDIAT reader. The dataset is referred to as e-ophta "double read".

For the purpose of training the lesion detectors, lesions were manually outlined by an ophthalmologist in randomly selected images. These annotations were checked afterwards by a second ophthalmologist. Two datasets of manually annotated images were created. The first one, called e-ophta EX, consists of 47 pathological images with 12,278 manually segmented exudates, as well as 35 healthy images. The second dataset, called e-ophta MA, consists of 148 pathological images with 1,306 manually segmented microaneurysms, as well as 233 healthy images.

## VII. EVALUATION AND RESULTS

Algorithms designed by both research groups were implemented by the ADCIS image processing company. The resulting system was evaluated in e-ophta. The dataset was divided randomly into a training set and a test set of equal sizes. A Receiver Operating Characteristic (ROC) curve was built by varying a threshold on the abnormality risk provided by the system. The optimal threshold was chosen so that the sensitivity of the system equals the sensitivity of the second expert reader in e-ophta "double read".



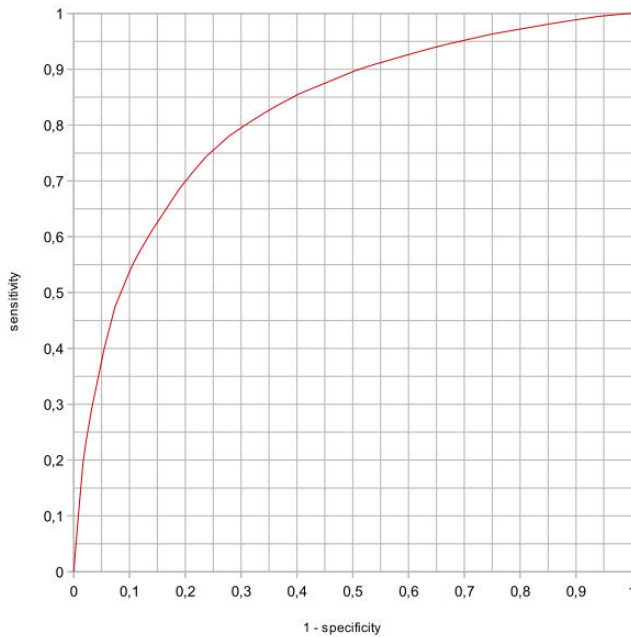


Fig. 3: Performance of the TeleOphta system

In e-ophta "double read", the second expert reader achieved a sensitivity of 80.9% (and a specificity of 81.5%). The ROC curve obtained for the TeleOphta system in the test subset of e-ophta is reported in Fig. 3. It can be seen that, for a sensitivity of 80.9%, the system achieves a specificity of 68.0%. Given the prevalence of referable patients in e-ophta (24.9%), it implies that the system could safely reduce by 55.8% the number of patients that must be seen by a human reader ( $68.0\% \times 75.1\% + 19.1\% \times 24.9\%$ ).

## VIII. CONCLUSION

We have presented TeleOphta, a novel strategy to relieve the burden on ophthalmologists in Diabetic Retinopathy (DR) screening networks. Thanks to multimedia data mining in a large screening dataset, the system was able to deal with image heterogeneities and with the variety of retinal pathologies in such screening networks. To our knowledge, TeleOphta is the first DR screening tool that combines visual and contextual information to generate referral decisions. A ROC analysis performed in a large screening dataset validates the relevance of the proposed approach and the system will soon be ready for clinical trials.

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